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## A modular neural model of motor synergies

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## ABSTRACT

Animals such as reptiles, amphibians and mammals (including humans) are mechanically extremely complex. It has been estimated that the human body has between 500 and 1400 degrees of freedom! And yet, these animals can generate an infinite variety of very precise, complicated and goal-directed movements in continuously changing and uncertain environments. Understanding how this is achieved is of great interest to both biologists and engineers.

There are essentially two questions that must be addressed: (1) What type of control strategy is used to handle the large number of degrees of freedom involved? and (2) How is this strategy instantiated in the substrate of neural and musculoskeletal elements comprising the animal bodies? The first question has been studied intensively for several decades, providing strong indications that, rather than using standard feedback control based on continuous tracking of desired trajectories, animals' movements emerge from the controlled combination of pre-configured *movement primitives* or *synergies*. These synergies represent coordinated activity patterns over groups of muscles, and can be triggered as a whole with controlled amplitude and temporal offset. Complex movements can thus be constructed from the appropriate combination of a relatively small number of synergies, greatly simplifying the control problem.

Although experimental studies on animal movements have confirmed the existence of motor synergies, and their utility has been demonstrated in the control of fairly complex robots, their neural basis remains poorly understood. In this paper, we introduce a simple but plausible and general neural model for motor synergies based on the principle that these functional modules reflect the structural modularity of the underlying physical system. Using this model, we show that a small set of synergies selected through a redundancy-reduction principle can generate a rich motor repertoire in a model two-jointed arm system. We investigate the synergies generated by this model systematically with respect to various parameters, and compare them to those observed in experiments.

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## 1. Introduction

Movement is the only way humans (and other animals) interact with their environment, and all forms of communication including speech, sign language, etc., are generated in conjunction with the motor system (Wolpert, Ghahramani, & Flanagan, 2001). All cognitive and sensory processes can be seen primarily as the means to generate appropriate actions. Humans exhibit a large repertoire of complex movements that allows them to interact with continuously changing and uncertain environments, but the biological basis of this ability is still not completely understood. Even the simplest movements such as reaching for a glass of water involve an enormous amount of coordination and information processing across various levels in the nervous system. The desired output in this case is the acceleration of the arm, but

the motor command that produces this acceleration depends on many internal and external variables of the body including the present state of the arm, the effect of sensory feedback, parameters associated with the arm (e.g. muscle masses, moments of inertia, etc.), and the global environmental conditions under which the arm is operating. The motor system must be able to provide commands based on all these variables and in different contexts (Wolpert & Kawato, 1998). This makes motor control a complex and fascinating problem for biologists, engineers and computer scientists (Bernstein, 1967; Graziano, 2009; Pfeifer & Bongard, 2006; Schmidt & Lee, 2005).

Humans have a complex body structure with more degrees of freedom than those required to perform any particular motor task. This redundancy allows for flexible and adaptable motor behavior if all the degrees of freedom can be coordinated for task performance (Bernstein, 1967). Understanding the cognitive and biomechanical basis of this coordination has been a fascinating challenge. The complexity of this problem arises from the apparent conflict between two fundamental properties of the motor system: the ability to accomplish complex, goal-directed actions repeatedly

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and accurately, and doing so with a variety of different specific movements. How do humans solve this degrees of freedom problem? And how is this to be replicated in an artificial system? These are the motivating questions for this paper.

The biological motor system is essentially a solution to a very difficult control problem. It has actuators, sensors and many layers of control, but unlike artificial control systems, it performs at a high degree of accuracy with flexibility and adaptation in spite of nonlinearities, uncertainties and many degrees of freedom (Doya, Kimura, & Miyamura, 2001). Many of the models developed for motor control reduce the redundancy by replacing the behavioral goal of a movement task with a desired trajectory that the system needs to follow in order to complete the task. In these models, there is a clear separation between the stages of *movement planning* and *movement execution*, but the variability and goal-directed corrections seen in biological motor control indicate that the system is able to distinguish, and selectively enforce, constraints required for online execution of the movement goal and pursue behavioral goals directly (Todorov & Jordan, 2002). Efforts to find methods with these attributes have led to the idea of *synergistic control* techniques, where complex movements are organized by coordination of pre-specified motor primitives – called *motor synergies* – rather than by explicit control of each degree of freedom (Bizzi, Cheung, d'Avella, Salitel, & Tresch, 2007; d'Avella & Bizzi, 2005; d'Avella, Saltiel, & Bizzi, 2003; Morasso, 1992; Muceli, Boye, d'Avella, & Farina, 2010; Mussa-Ivaldi, 1999; Ting & Macpherson, 2005; Torres-Oviedo, Macpherson, & Ting, 2006; Torres-Oviedo & Ting, 2007; Tresch, Cheung, & d'Avella, 2006; Tresch, Salitel, & Bizzi, 1999). While this does not eliminate the degree of freedom problem, it can potentially mitigate it by drastically reducing the number of controlled variables without greatly limiting useful flexibility. In this paper, we explore the synergy-based approach systematically, and develop a neural model of motor synergies for a 2-degree of freedom arm with 6 muscles, capable of performing reaching movements in a large number of directions from all of its initial postures.

This paper builds on the work reported in our paper at the 2011 International Joint Conference on Neural Networks (Byadarhaly, Perdoor, & Minai, 2011), but goes beyond that in describing a much more general and detailed model of motor synergies and a significantly enhanced algorithm for obtaining a basis set of synergies.

The rest of the paper is organized as follows: The next section describes the motivation behind the synergy model and some background information about the development of the concept. The following section describes the system model in detail and the largely phenomenological methods used to configure useful synergies (described in detail in Appendix B). The capabilities of the synergy-based motor control system are demonstrated in the Results section, which shows how simple and complex reaching movements can be constructed by combining the synergies over time.

## 2. Background and motivation

Researchers in the area of motor control have proposed three main types of control models: Cerebellar and adaptive control models (Albus, 1971; Marr, 1969; Schweighofer, Arbib, & Kawato, 1998a; Schweighofer, Spolstra, Arbib, & Kawato, 1998b), basal ganglia and reinforcement learning models (Barto, 1995; Graybiel, 1995; Houk, 2005; Houk, Adams, & Barto, 1995; Houk & Wise, 1995; Sutton & Barto, 1998), and modular control models (Doya et al., 2001). Among the earliest models involving the cerebellum were the classical models of Albus (1971) and Marr (1969) who proposed that the cerebellum is a pattern recognition system that recognizes contexts for specific movements. Based on

this, Ito (1993) hypothesized that the cerebellum provides a model of the body and the physical environment allowing for accurate movement control despite errors in sensory feedback. Kawato extended Ito's framework and proposed the feedback error learning architecture as a model of adaptive control by the cerebellum, consisting of a fixed linear feedback controller and an adaptive nonlinear feed-forward controller. The feedback loop corresponds to the cerebral and spinal feedback pathways while the nonlinear feed-forward controller assumes the role of an inverse model of the plant, calculating the control command necessary to achieve the desired movement trajectory (Kawato, Furukawa, & Suzuki, 1987).

The actor-critic architecture for the reinforcement learning of control tasks was proposed in the early 80's by Barto and colleagues (Sutton & Barto, 1998). This consists of an *actor* that produces stochastic action outputs and a *critic* that evaluates how good or bad the resulting states are. The neurotransmitter dopamine is known to be involved in the reinforcement of human and animal behaviors (Schultz, 2000; Schultz, Dayan, & Montague, 1997). The basal ganglia receive very strong dopaminergic inputs and, based on their anatomical organization and the data from the dopaminergic neurons, several researchers have proposed actor-critic models of the basal ganglia (Barto, 1995; Houk & Wise, 1995). These models explain the role of the basal ganglia in learning and execution of voluntary movements. Similar reinforcement learning models have successfully replicated experimental data on reward based learning (Hikosaka et al., 1999; Schultz et al., 1997).

Motor adaptation experiments such as eye-movement control indicate that multiple controllers are learned for different situations (Wolpert & Ghahramani, 1997). Experiments by Imamizu, Kuroda, Yoshioka, and Kawato (2004) and Shima and Tanji (2000) suggest that the network linking the cerebellum and the pre-motor cortex may be involved in selecting appropriate control modules for different conditions. Wolpert and Kawato proposed a modular control architecture called the *multiple paired forward and inverse models* (MPFIM) (Wolpert & Kawato, 1998). This architecture uses multiple pairs of forward and inverse models of the controlled object. This was extended to a reinforcement learning paradigm called *multiple-model based reinforcement learning* (MMRL) by Doya, Samejima, Katagiri, and Kawato (2000b). All these models have a multiple predictor controller (MPC) architecture (Doya, Katagiri, Wolpert, & Kawato, 2000a). When a locally linear model of the dynamics and a locally quadratic model of the reward or cost are available, a special case of the MPC architecture called the multiple linear-quadratic controller (MLQC) architecture is obtained (Doya et al., 2000b).

Optimal control has also been used for motor coordination and control. Todorov and Jordan proposed a model in which the desired trajectory is not enforced, but feedback is used intelligently by correcting only those errors that interfere with task goals (Todorov & Jordan, 2002). Other models based on optimal control have used an iterative linear quadratic Gaussian formalism as a model for control of a redundant biomechanical system represented by the motor system (Li & Todorov, 2004; Li, Todorov, & Pan, 2004).

A very different approach to motor coordination arises from the nonlinear dynamics and complex systems framework. An important insight derived from the study of complex systems is their extensive use of modularity and multi-scale self-organization. Complex patterns can arise through the interaction of relatively simple but nonlinear modules at multiple spatial and temporal scales. The modules themselves can be configured at multiple adaptive time-scales ranging from evolution to development and learning, and organized into pre-coordinated functional motor primitives, or synergies, by the same processes (Bizzi et al., 2007; d'Avella et al., 2003; Kelso, 1995, 2009; Morasso, 1992; Mussa-Ivaldi, 1999; Tresch et al., 1999; Tresch, Salitel, d'Avella,

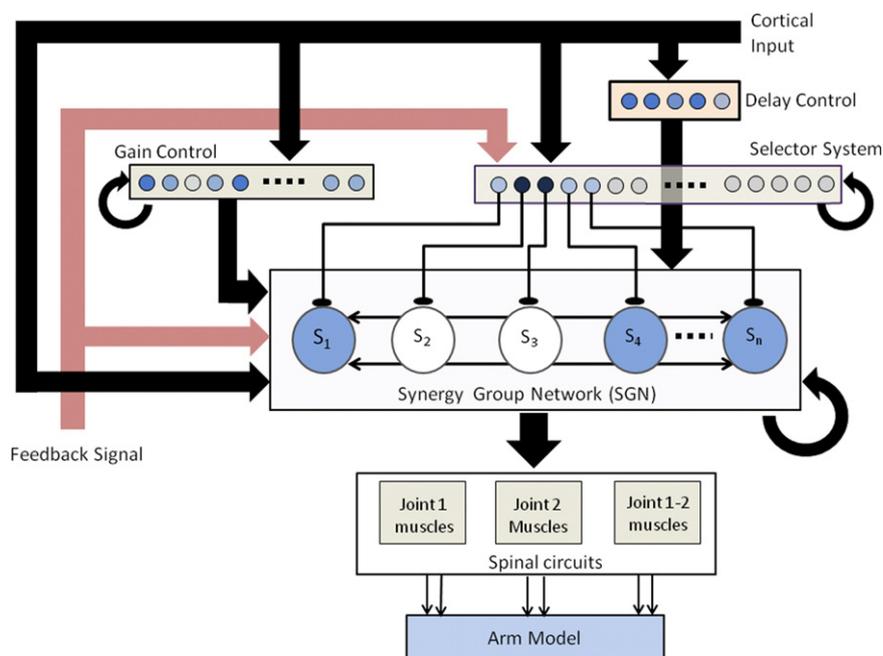


Fig. 1. Architecture of the synergy model. Differences in neuron/module shading indicate levels of activity.

& Bizzi, 2002). Complex movements can then be generated simply by triggering the appropriate synergies in coordinated fashion (Bizzi et al., 2007; d'Avella & Bizzi, 2005; d'Avella et al., 2003; Kelso, 2009; Muceli et al., 2010; Ting & Macpherson, 2005; Torres-Oviedo et al., 2006; Torres-Oviedo & Ting, 2007; Tresch et al., 2006). In this framework, motor control is seen as a purely dynamical and emergent phenomenon rather than an explicit feedback control process (Haken, Kelso, & Bunz, 1985; Kelso, 1995, 2009; Turvey, 1990; Turvey & Fonseca, 2009) (though it is modulated by feedback at many levels.) This is the motivating viewpoint of the present paper.

Though motor synergies have occasionally been invoked in computational models of motor control, they have been instantiated at a purely phenomenological level (Bullock & Grossberg, 1988; Grossberg & Paine, 2000). More recently, Schaal and colleagues have developed a concrete mathematical framework for motor primitives, and applied it very successfully to the control of high degree-of-freedom robots engaged in complex tasks (Ijspeert, Nakanishi, & Schaal, 2003; Meier, Theodorou, Stulp, & Schaal, 2011; Schaal, 2003a, 2003b; Schaal, Peters, Nakanishi, & Ijspeert, 2004). However, these primitives are purely phenomenological and are not defined in terms of neural correlates. They are also defined in terms of kinematics (Schaal, 2003b) rather than muscle forces or torques, which are the variables directly controlled by motor commands.

One of the benefits of a synergistic approach is that a large number of complex responses can be configured by the combination of a small set of synergies. These complex actions are triggered *as a whole* by a simple command input rather than detailed control signal. Not only do the synergies provide a very stable internal memory for the system and are not disturbed by variations in the system, the stability of the synergies also makes the response more robust to noise and other perturbations. It has been postulated that the synergistic, dynamical model studied in the motor system can be applied to the brain as a whole leading to the development of a complex dynamical view of all mental functions (Kelso, 2009; Minai, Perdoor, Byadarhaly, Vasa, & Iyer, 2010; Yuste, Maclean, Smith, & Lanser, 2005). This framework allows for the explanation of complex behaviors in animals from an evolutionary and developmental perspective.

We speculate that all mental functions in animals arise through the interaction of functional modules, i.e., synergies, configured

at multiple levels through evolution, development and learning. The synergies in peripheral systems – the spinal cord, the brainstem, sensory receptors and the musculoskeletal system – are relatively inflexible and hard-coded (e.g., central pattern generators for locomotion, breathing, sneezing, etc.), whereas those in the core systems – neocortex, thalamus, hippocampus, cerebellum and basal ganglia – are much more flexible and reconfigurable (e.g., motor programs for complex voluntary movements). In this framework, the *peripheral synergies* of the motor system represent a *combinatorial repertoire* for the configuration of muscle force fields, whereas the *core synergies* provide the excess degrees of freedom that allow maximal *exploitation* of this repertoire. The process of animal evolution can be seen as the gradual co-complexification of the core and peripheral synergies to maintain this optimal level of exploitation, and development can be regarded as the gradual instantiation of this system. In this paper, we present a neural model for peripheral motor synergies, i.e., the repertoire, showing that it can be used as the basis for constructing complex movement using relatively simple control signals. Future work will focus on developing neural models of higher level systems that generate these control signals.

### 3. System description

The neural model for synergistic motor control is as shown in Fig. 1. The main parts of the model are

- The 2-joint, 6-muscle arm model.
- The spinal pattern generator circuits.
- The Synergy Group Network (SGN).
- The selection and control array comprising three networks: (a) The selector network; (b) The gain control network; and (c) The delay modulation network.

A 2-joint, 6-muscle, 2 degree-of-freedom arm is used as the body to be controlled. The joints are termed the *shoulder* joint and the *elbow* joint. The six muscles are organized into 3 agonist-antagonist pairs. One pair each connects to the shoulder and elbow joints, and the third connects with both. The arm rests on a 2-dimensional surface and is capable of moving along this

surface. This model has been used widely in previous research on motor control, e.g., Karniel and Inbar (1997).

The muscles receive input from the alpha motor neurons in the spinal circuit which causes them to either lengthen or shorten, resulting in movement of the arm and change in the shoulder and joint angles,  $\theta_1$  and  $\theta_2$ , respectively. Thus, the posture of the arm can be represented in  $(\theta_1, \theta_2)$  coordinates. A muscle is modeled mechanically as a spring–mass–damper system using a Hill-type framework derived from the previous work of Karniel and Inbar (1997) and Massone and Myers (1996).

Each muscle in the model arm is controlled by signals from the alpha motor unit of a spinal circuit. The spinal circuits are, in turn, driven by signals from the SGN, mediated by interneurons and stretch receptor feedback in the spinal circuits. The SGN is a modular network comprising *synergy groups*, each of which is itself a recurrent network of several interacting *modules*. Activation patterns are embedded as attractors within each module, and the interaction of the modules within the group results in a signature spatiotemporal response pattern for each synergy group. This represents the synergy or motor primitive encoded by that group.

Each of the synergy groups is held inactive by default through an inhibitory connection, and can be triggered to participate in a motor action by a signal from the *selector network* (SN). The selector network is a recurrent attractor network that sends enabling signals to each synergy group, thus controlling the *combination* of synergies deployed at a given time. Through learning, the selector network is expected to embed useful synergy combinations as attractors, with appropriate patterns being triggered sequentially by higher-level motor commands. Each synergy,  $i$ , triggered by the selector network is modulated further by the *gain control network* (GCN), which scales the response amplitude,  $k_i$ , of the selected synergy, and the *delay control network* (DCN), which controls the delay,  $\delta_i$ , with which a selected synergy is deployed. The SGN has a fixed pattern of projection onto the spinal circuits of the six muscles; this is termed the *muscle map* of the system.

The output from the SGN is a spatiotemporal pattern of brief square-wave signals that is provided to the spinal networks through the muscle map, and drives the appropriate spinal networks, thus leading to muscle activation and movement. The property of the movement which is of most interest to us is the trajectory of the end point of the arm. This would correspond to a drawing or reaching action by an attached end effector. The spinal circuits, the SGN and the control networks are described below in greater detail. The arm model is described in Appendix A.

The model described here does not include any visual or somatosensory feedback except that from muscle stretch sensors; nor does it include efference copy-based modulation, which is known to exist in the motor system. Thus, the current model should not be expected to produce goal-directed trajectories with the precision or control seen in actual animals. The goal in this paper is to show how peripheral motor synergies can be instantiated in a modular neural substrate, and how a small number of such synergies can, in principle, provide a comprehensive and efficient repertoire of movements.

### 3.1. Spinal circuits

Spinal circuits form the output stage of the motor neural system. While spinal circuits are known to include many types of neurons, we use the simplified model shown in Fig. 2. It has been derived from the limb controller model of Orlovsky, Deliagina, and Grillner (1999), which consists of a rhythm generator and an output stage which does not take part in the generation of the rhythm (Feldman & Orlovsky, 1975; Pratt & Jordan, 1980). The output stage activates a pair of agonist–antagonist muscles and can be seen as a functional unit activated by both the brain and

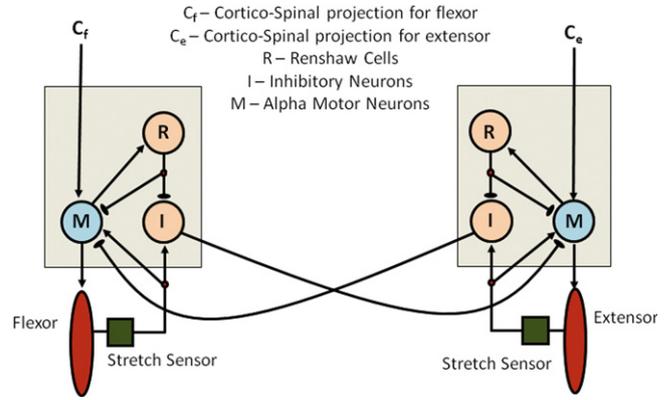


Fig. 2. The spinal circuit.

the spinal cord. In Orlovsky's model, the output stage comprises a pair of alpha motor neurons that activate the muscles, a pair of inhibitory neurons receiving afferent stretch signals from the muscle pair, a pair of Renshaw cells and a pair of gamma motor neurons. The inhibitory neuron provides inhibitory feedback to the agonist alpha motor neuron when the antagonist muscle is stretched. The gamma motor neurons affect the sensitivity of the stretch-receptive muscle spindle afferents. The Renshaw cells are also inhibitory and receive excitatory signals from the alpha motor neurons. Our system is similar to Orlovsky's except that gamma motor neurons are excluded and the sensitivity of the stretch receptive muscle spindles is fixed. It should be noted that, while we use the term "neuron" in our description, the units in our model are better seen as neural assemblies (e.g., motor pools).

All three types of neurons in spinal circuits (alpha motor neurons, inhibitory neurons and Renshaw cells) have a sigmoidal activation function, and are implemented using continuous time dynamics. The activity of a neuron  $i$  is given by an equation of the form:

$$\tau_i dV_i/dt = -V_i + \sum_k W_{jk} Y_k + I_i^q \quad (1)$$

where  $\tau_i$  is the time constant for neuron  $i$ ,  $W_{jk}$  is the synaptic weight from afferent neuron  $k$  to neuron  $i$ ,  $Y_k$  is the output of a neuron  $k$ , and  $I_i^q$  is the extra-spinal input to neuron  $i$ , where  $q = \alpha$  if  $i$  is an alpha motor neuron and  $q = \text{inh}$  if it is an inhibitory neuron; Renshaw cells do not get extra-spinal input. The output of  $i$  is given by:

$$Y_i = \frac{1}{1 + e^{-V_i}} \quad (2)$$

which can be seen as the firing rate of the neuron. If  $Y_i < 0.01$  then  $Y_i = 0$ .

The extra-spinal input to alpha motor neurons comes from the SGN units through the weights encoded in the muscle map and is given by

$$I_i^\alpha = \sum_j W_{ij} Y_j - B^s \quad (3)$$

where  $Y_j$  is the output of SGN neuron  $j$  which affects the muscle through weight  $W_{ij}$  and  $B^s$  is the bias for the spinal circuits, which is set to the value 5 in the simulations.

The extra-spinal input to the inhibitory neuron is the muscle stretch which is calculated as:

$$I_j^{\text{inh}} = \frac{L - L_{\text{rest}}}{L_{\text{max}} - L_{\text{rest}}} \quad (4)$$

where  $L$  is the current length of the muscle,  $L_{\text{rest}}$  is the length of the muscle when there is no stretch, and  $L_{\text{max}}$  is the maximum possible



The activation of the accumulator neurons for the modules in a group is given by

$$a_m^g = \bar{w}_m^g \cdot \bar{V}_m^g \quad (9)$$

where  $a_m^g$  is the accumulator neuron activity of module  $m$  in group  $g$ ,  $\bar{V}_m^g$  is a vector representing the activities of all output neurons of module  $m$  in group  $g$ , and  $\bar{w}_m^g$  is the vector of synaptic weights connecting the output neurons of module  $m$  to its accumulator neuron.

Each neuron also has a *resource*,  $\rho_j$ , which depletes continuously while the activity of the neuron ( $V_j^m$ ) remains above a threshold,  $\theta$ . If  $\rho_j$  falls below a threshold  $\theta_\rho^-$ , the neuron becomes refractory, allowing time for the resource to recharge. Once it charges to a level above  $\theta_\rho^+$ , the neuron can fire again.

The resource depletion equation for a particular neuron  $j$  is given by

$$\rho_j(t+1) = (1-b)\rho_j(t) \quad b = 0.02. \quad (10)$$

The resource recharging equation is given by

$$\rho_j(t+1) = \rho_j(t) + c(1-\rho_j(t)) \quad c = 0.0004. \quad (11)$$

The resource in the system is used to control the duration the neuron's activity and refractoriness. This ensures that the activity pattern generated by the triggering of a synergy group has a finite duration, as is seen in actual motor synergies. We set  $\theta_\rho^+ = 0.9999$  and  $\theta_\rho^- = 0.1$ .

The outputs,  $Y_j^m$ , of primary neurons  $j$  are determined by a competitive process, with only the  $K$  most excited neurons allowed to fire provided their activation also exceed a minimal threshold. Competition occurs separately for the internal and output neurons, and for output neurons is controlled by the input from the gain control network.

For simplicity (and given the small number of joints currently simulated), we assume that each module within the group affects a different muscle and each group as a whole activates every muscle in principle, though in practice some muscles may get no input due to zero weights.

### 3.3. Control networks

As shown in Fig. 1, the system has three networks that determine the deployment of synergies. Together, they control the selection, activity amplitude and temporal offset for synergies in the SGN as follows.

The *gain control network* provides input  $k_g$  to synergy group  $g$ , which sets the fraction of output neurons allowed to fire in each module of group  $g$  if the group is triggered. This, in turn, determines the strength of the signal each module sends to the spinal circuit, resulting in varying overall activation to the muscles in response to the synergy.

The *selector network* and the *delay control network* act through two interneurons that are associated with each synergy group  $g$ : a tonically active inhibitory neuron with activity  $z_I^g$  that keeps the synergy group inactive by default; and an excitatory interneuron with activity  $z_E^g$  that provides the drive necessary to activate the modules in the synergy group. When a synergy group is to be selected for activation, a binary signal,  $s_g$ , from the selector network inhibits the inhibitory interneuron, setting  $z_I^g = 0$  and thus disinhibiting group  $g$ . However, it cannot actually become active until it receives sufficient excitation  $z_E^g \geq \theta_z$  from the excitatory interneuron whose excitation is controlled by a signal,  $\delta_g$ , from the delay control network. Thus, the switching of group  $g$  can be described through a gating variable,  $\gamma_g$  with the following logic:

$$\gamma_g(t) = s_g(t)[z_E^g(t) \geq \theta_z]. \quad (12)$$

Only if  $\gamma_g = 1$  can group  $g$  be triggered, with the amplitude of the output controlled by  $k_g$ . We assume for simplicity that projection neurons in all three control networks are in one-to-one correspondence with synergy groups, but this is not essential.

For each synergy deployment, the excitatory interneuron is initialized to  $z_E^g(0) = \delta_g$  and then charges as follows:

$$z_E^g(t+1) = z_E^g(t) + \tau_z(1 - z_E^g(t)) \quad (13)$$

where  $\tau_z = 0.007$  is a rate parameter.

An important feature of the control networks is that they control the SGN via patterns of activity across their own neurons. Thus, given the repertoire of synergies in the SGN and a particular embodiment, a repertoire of movements can be embedded in the networks as attractor patterns, each which can then be triggered as unitary entities by cortical and sensory feedback signals. Thus, the control networks can be seen as encoding "higher-level synergies" to elicit desired movements from lower-level synergies (Giszter, Moxon, Rybak, & Chapin, 2001; Kelso, 2009; Yuste et al., 2005).

### 3.4. Configuration of the synergies

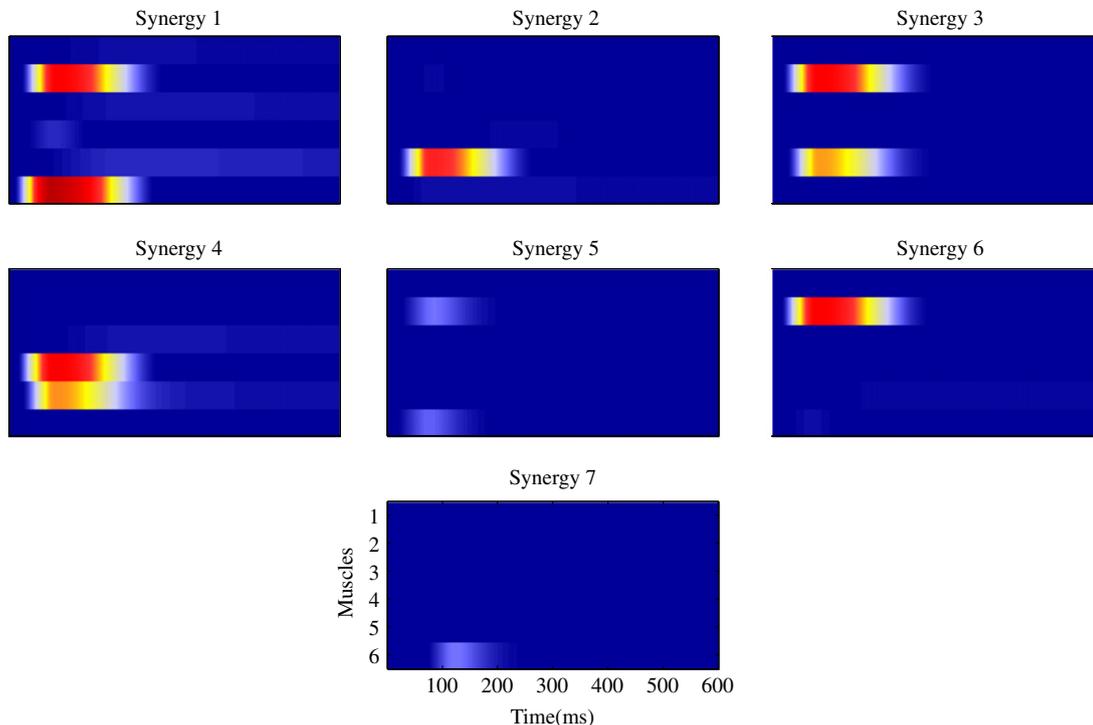
The evolutionary-developmental approach that motivates our model is based on the idea that inflexible peripheral synergies are at least partly "hard wired" by genetics and development—much as the central pattern generators underlying walking, breathing, sneezing, etc., are. This gets support from the work of Ting and Macpherson (2005) and Torres-Oviedo et al. (2006); Torres-Oviedo and Ting (2007), who found that, in both cats and humans, synergies across different individuals are remarkably similar. We hypothesize that this similarity reflects the very strong constraints imposed by embodiment and the anatomy of spinal circuits. With this principle in mind, we use a heuristic search process to identify a small set of *basis synergies* for our system, attempting to capture the effect of evolutionary and developmental configuration at a phenomenological level. The heuristic is based on two principles:

1. *Maximum coverage*: Together, the synergies should cover movement in all directions from all points in the field of motion.
2. *Minimum redundancy*: The synergies found should be pairwise significantly different from each other.

In addition to this, we also impose an *admissibility constraint* that, for the same amplitude gain, the trajectories generated by each basis synergy in isolation should be of a similar moderate length and have minimal curvature.

For simplicity, we assume that all the synergy groups have 6 modules—each one projecting to the spinal circuit for a unique target muscle. Thus, each synergy group projects to all 6 muscles and the muscle map has a simple one-to-one structure. Each module has  $n_m$  neural units— $n_m^I$  internal and  $n_m^O$  output. A module embeds one of three *canonical attractors*: (1) a strong attractor,  $A_s$ ; (2) A weak attractor,  $A_w$ ; and (3) A zero attractor,  $A_0$ . Each attractor has the same number of active bits across all  $n_m$  neurons in the module, but the output neurons activated by each attractor are distinct. The weights from these neurons to the spinal networks are such that the attractors provide a strong, weak or zero activation to the target muscle. With 6 modules and 3 attractors per module, each synergy group can encode 729 possible attractor combinations. These are not identical for each synergy group, though, because the inter-module weights within each synergy group are fixed at different random values.

To find a good set of synergies, 49 regularly spaced points are identified in posture space, and the region around each posture is divided radially into eight equal zones,  $Z_1; \dots; Z_8$  of  $45^\circ$  each. If triggering synergy  $i$  at posture  $k$  causes movement into zone  $Z_j$ , the synergy is said to *reach*  $Z_j$  at  $k$ . A set of synergies is said to *span* posture  $k$  if they reach at least 3 zones at the posture



**Fig. 4.** The variation of the 7 muscle synergies across all six muscles. The y axis represents the muscles and the x-axis shows time. The different intensities depict the strength of the muscle force generated by the synergy.

with one intervening zone between each of the three. Some extremal postures can be spanned by covering fewer zones. A set of synergies that together span all 49 postures is said to *cover* the postures and is termed *complete*. The goal of synergy configuration is to find a minimal complete set of synergies. The resulting synergies comprise a *synergy basis set*, and movements in all directions can, in principle, be produced from any posture by the combination of subsets of these synergies with amplitude and delay modulation. Using a heuristic elimination process described in detail in [Appendix B](#), we obtained a final canonical basis set of 7 synergies.

#### 4. Results

The canonical set of 7 synergies was implemented in a 7-group SGN and used for all subsequent simulations as described below. The following issues were addressed through these simulations.

1. Can synergies similar to those seen in the experimental studies ([Bizzi et al., 2007](#); [d'Avella et al., 2003](#); [Tresch et al., 1999, 2002](#)) be generated by the model? If so what sort of arm movements do they produce at different initial postures?
2. What is the effect of the gain and delay parameters on the muscle force generated in the arm and the trajectory of the arm?
3. Can synergies be combined and modulated to generate predictable trajectories?
4. Is this system capable of producing complex sequential movements?

**Fig. 4** shows the strength of activation of muscle forces produced by all of the configured synergies. It is evident from the figure that each synergy targets a few muscles strongly but also activates some of the other muscles to varying degrees. Synergies 1, 3, and 4 affect 2 muscles strongly and a few others weakly, while synergies 2 and 6 affect one of the muscles strongly and other muscles weakly. Synergies 5 and 7 provide relatively weak activation to one of the muscles while not affecting any other

muscle. It can also be observed that, within the synergies, different muscles are activated at different times due to the delay inherent in each synergy.

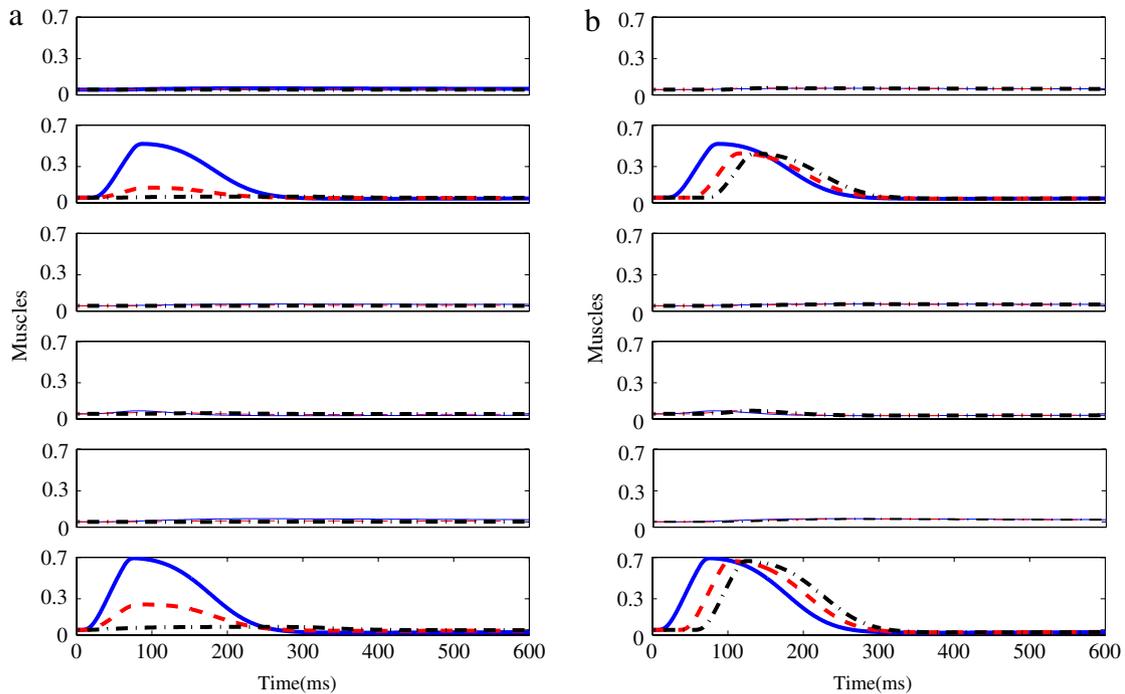
**Fig. 5** plots the movement of the arm as a result of the activation of the muscle system by synergies 1, 2, 3 and 4 from 49 initial postures of the arm. Each synergy results in a certain characteristic movement from all the initial configurations of the arm. The figure shows that the movements produced by each synergy vary smoothly with change in initial, suggesting a smooth force field. It is also clear from the figure that the synergies produce movements in very different directions.

**Fig. 6** shows the movements produced by all 7 synergies from the 49 initial postures. From each posture, the repertoire of 7 synergies allows movement in multiple linearly independent directions with low curvature trajectories of roughly similar lengths, thus satisfying the criteria used to obtain the set of basis synergies.

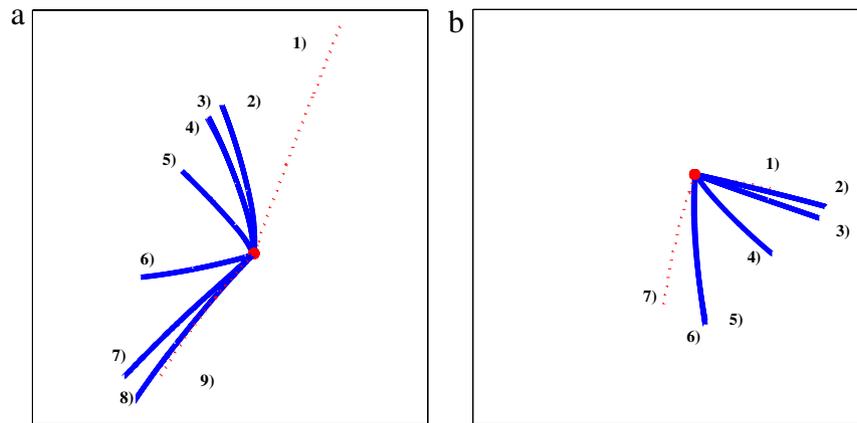
The plots in **Fig. 7** show the effect of change in gain and delay values on the muscle forces produced by pure synergies. Part (a) of the figure shows that, with decrease in the gain, the magnitude of the muscle force also decreases. This force is controlled by the number of active output neurons in the modules of each synergy group, which is determined by the gain input to that group. The figure shows that with lower amplitude, the synergy has much weaker effect on the movement of the arm, and that this effect is very significant when synergies are combined. Part (b) of the figure shows the effect of delay modulation on the muscle forces. As the delay value is increased, the excitatory neuron takes more time to charge up thus resulting in the delayed activation of the muscle.

As shown in **Fig. 6**, the basic set of synergies configured in the system are individually capable of producing movements in various directions from a large number of initial configurations. **Fig. 8** shows that combining multiple synergies with different gains leads to monotonic – albeit slightly nonlinear – interpolation of trajectories between those produced by each synergy acting alone. The plots demonstrate this using a combination of synergies 2 and 4 (plot (a)) and synergies 3 and 6 (plot (b)), both from the same





**Fig. 7.** (a) Forces for all 6 muscles activated by synergy 1 with gain of 0.5 (solid blue line), 0.3 (dashed red line) and 0.1 (dot-dash black line). (b) Forces for all 6 muscles activated by synergy 1 with a delay of 0 (solid blue line), 0.1 (dashed red line) and 0.2 (dot-dash black line). (For interpretation of the references to colour in this figure legend, the reader is referred to the web version of this article.)



**Fig. 8.** Effect of combination of synergies (a) Combination of synergies 2 and 4 with different gains from a specific posture. The dotted lines show the trajectories generated by the two synergies applied alone with a gain of 0.5 and the solid lines show those obtained through the combination of the synergies. The gain values for synergies 2 and 4, respectively, are (1) (0, 0.5) (2) (0.2, 0.5) (3) (0.3, 0.5) (4) (0.5, 0.5) (5) (0.5, 0.4) (6) (0.5, 0.3) (7) (0.5, 0.2) (8) (0.5, 0.1) (9) (0.5, 0). Both synergies are triggered without delay. (b) Trajectories obtain through combination of synergies 3 and 6 with respective gain values (1) (0, 0.5) (2) (0.2, 0.5) (3) (0.3, 0.5) (4) (0.4, 0.5) (5) (0.5, 0.5) (6) (0.5, 0.2) (7) (0.5, 0).

working on systems that build higher-order synergies using the primitives described here as building blocks. In particular, we are focusing on developmental models that allow more complex control to emerge over time in a brain-body system of gradually increasing complexity. Results from this work will be discussed in future reports.

**Appendix A. Musculoskeletal model of the 2-jointed arm**

This appendix describes the musculoskeletal model for the 2-jointed arm used in this study. The model is based on the work of Karniel and Inbar (1997) and Massone and Myers (1996).

The muscle model is the widely used Hill model (Karniel & Inbar, 1997; Massone & Myers, 1996). It comprises two sets of contractile and damping elements—one in series and the other

in parallel. The length of the muscle is given by  $x(t)$  and the length of the contractile element by  $x_0$ , the spring constants of the series and parallel contractile elements by  $K_s$  and  $K_p$ , respectively. The viscosity factors of the damping elements are given by  $B$  (series element) and  $B_p$  (parallel element). The parallel contractile element is used to maintain a posture or muscle length at a steady state and we do not use it in our system since we are not concerned with movements that require steady maintenance of the posture.

The dimensionless force,  $F_0(t)$ , applied to the muscle is generated by the output,  $Y^m$ , of the muscle’s alpha motor neuron via the low-pass filter  $1/(1 + \tau_n s)$ . This is converted into force  $T_0$  measured in Newtons via a scale factor. For clarity, the dependence on  $t$  is not shown explicitly in the rest of this appendix unless necessary.





**Stage III: Redundancy removal:**

As a first step towards meeting the requirement for minimum redundancy of basis synergies, a *synergy similarity matrix* (SSM),  $E(S_1)$ , is computed, with the  $ij$ th entry indicating the similarity between synergy  $i$  and synergy  $j$  in set  $S_1$  as:

$$E_{ij}^S = 1 - \frac{1}{8 \times 49} \sum_{p=1}^{49} d_H(\chi_{ip}, \chi_{jp}) \quad (39)$$

where  $d_H(x, y)$  is the Hamming distance between vectors  $x$  and  $y$  and  $\chi_{ip}$  and  $\chi_{jp}$  the reach profiles for synergies  $i$  and  $j$ , respectively, from posture  $p$ . A value of  $E_{ij} = 1$  for  $i \neq j$  implies that the synergies involved have identical reach profiles across all postures. All subsets of synergies with mutually identical reach profiles are identified and only one synergy from each such set is retained. The rest are eliminated, giving a reduced synergy set  $S_2$  with  $M$  synergies. Note that  $S_2$  may still include synergies with very similar reach profiles, but we leave them in the set at this stage.

The  $49 \times 8 \times M$  zone performance matrix  $M_{spz}(S_2)$  is computed for the reduced synergy set, which provides reach profiles for all the synergies in it.

**Stage IV: Coverage evaluation:**

Next, the reduced synergy set,  $S_2$ , is evaluated for coverage across all postures. This information is used during subsequent steps.

The zone coverage provided by the synergy set  $S_2$  is obtained by first logically summing the  $M_{spz}(S_2)$  matrix along the synergies to give the  $49 \times 8$  binary matrix,  $Q_z(S_2)$ :

$$Q_z(S_2) = \bigvee_{i=1}^{N_r} M_{spz}(i, j, k). \quad (40)$$

The 8-bit vector in row  $i$  of this matrix indicates which zones for posture  $i$  are reached by at least one synergy in  $S_2$ . It is termed the *base zone coverage profile* for posture  $i$  and is the logical sum of the reach profiles of all synergies in  $S_2$  for posture  $i$ . The base zone coverage profile for each posture is checked to see if at least 3 well-separated zones are covered from it. If so, the posture is labeled *covered*, else *deficient*. This gives the 49-bit vector  $q(S_2)$ , where  $q_j(S_2) = 1$  if posture  $j$  is covered by  $S_2$  and 0 otherwise. This is termed the *base coverage profile vector*, and denoted by  $q^*$ . It should be noted that a posture that is deficient in  $S_2$  will not be covered by the basis set either. Typically, this only happens for extremal corner postures.

A  $49 \times 1$  *richness matrix*,  $R(S_2)$  is also calculated by summing  $Q(S_2)$  along all the zones, giving the number of zones reached by all the included synergies from each posture.

**Stage V: Iterative reduction:**

The goal in this stage is select a small set of basis synergies from  $S_2$  such that the principles of maximum coverage and minimum redundancy are satisfied. This is done through an iterative split-and-select algorithm as follows:

1. Set the *current synergy set*,  $S$ , to  $S_2$ .
2. While the current synergy set is larger than  $N_{\text{target}}$ , do:
  - 2.1. Randomly divide  $S$  into two subsets,  $S_A$  and  $S_B$ , of equal size.
  - 2.2. Evaluate the coverage profile vectors (CPVs),  $q(S_A)$  and  $q(S_B)$ , for the two subsets.
  - 2.3. If neither subset has the same CPV as  $q^*$ , reset to Step 2.1.
- Else, if one subset has the same CPV as  $q^*$ , set  $S$  to that subset.
- Else, set  $S$  to the subset which has higher quality based on: (1) Higher total number of zones reached over all postures; and (2) Lower average similarity between synergies.

When the procedure ends, the set  $S$  is of size  $N_{\text{target}}$  and has the same coverage as  $S_2$ .

**Stage VI: Synergy augmentation:**

As noted earlier, the reduced synergy set  $S_2$  used as the basis for the iterative reduction elimination process may have been deficient for some postures, and these deficiencies are mirrored in the final synergy set  $S$ . Therefore, if possible,  $S$  is augmented with a small number of synergies that had been eliminated in Stage I but remove the deficiencies in  $S$ .

The set  $S$  at this point is the desired set of basis synergies.

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